Evaluation Form for _____

Identify the objectives, the object subject to regulation, the regulatory mechanisms that make up the overall regime, the extent of exceptions and exemptions, the key players with particular emphasis on the Regulator, Regulatees and Beneficiaries, the Principles and Rules that apply to Regulatees, and the extent to which the Rules have been articulated through co-regulatory processes.

No.	A	C	Criterion	Features
			Process (0-5)	
1	-		**Aims, Requirements**	Objectives published, at least Principles and preferably Rules and their justification, published and expressed sufficiently clearly
2	-		**Justification**	Demonstration of necessity on public policy grounds
3	-		**Proportionality**	Obligations no greater than necessary to achieve the objectives
4	-		**Transparency**	The processes for development, review and adpatation are openly published, at least to all stakeholders
5	-		**Stakeholder Interests**	The interests of all stakeholders (not just the powerful) have been considered, and reflected in the obligations that have been created
6	-		**Participation**	All stakeholders (not just the powerful), or appropriate advocates for their interests, are engaged in development, review and adaptation processes
			Product (0-7)	
7	-		**Comprehensiveness**	The regime encompasses all aspects that need to be addressed on public policy grounds
8	-		**Coherence, Comprehensibility, Compatibility**	Definitions and explanations are clear, overlaps between regulatory regimes are avoided, unnecessary inconsistencies and duplications of effort are avoided
9	-		**Articulation**	Principles, Rules and guidance are clear, operationalised and sufficiently specific, and imprecisions and ambiguities are identified and clarified
10	-		**Efficiency**	Obligations no more onerous and expensive than necessary to achieve the objectives
11	-		**Flexibility, Adaptability**	Tolerance of environmental variations and exceptional circumstances, and Responsiveness and Adaptiveness to environmental change
			Outcomes (0-7)	
12	-		**Oversight**	Relevant behaviour of all Regulatees is monitored and measured, and non-compliant behaviours are detected
13	-		**Enforceability**	Non-compliant behaviours by Regulatees are subject to enforcement actions, by aggrieved parties directly, and by the Regulator
14	-		**Enforcement**	The Regulator has appropriate powers and resources, uses them, and achieves compliance; and enforcement actions by aggrieved parties achieve appropriate outcomes
15	-		**Effectiveness**	Non-compliant behaviours by Regulatees are controlled, and to the extent that is not achieved, the Regulator's powers and resources are adapted by the Parliament to overcome the barriers to effectiveness
16	-		**Review**	The regime is reviewed, evaluated and adapted to ensure that the objectives are achieved on an ongoing basis
			TOTALS	